

# **Governance, Audit and Risk Management Committee** AGENDA

**DATE:** Thursday 29 March 2012

**TIME:** 7.30 pm

**VENUE:** Committee Room 5  
Harrow Civic Centre

## **MEMBERSHIP** (Quorum 3)

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**Chairman:** Councillor Sachin Shah

**Councillors:**

Sue Anderson	Chris Mote
Mano Dharmarajah	Richard Romain
Thaya Idaikkadar (VC)	Yogesh Teli

## **Reserve Members:**

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- |                   |                    |
|-------------------|--------------------|
| 1. Ben Wealthy    | 1. Tony Ferrari    |
| 2. Ajay Maru      | 2. Stephen Wright  |
| 3. Krishna Suresh | 3. Anthony Seymour |
| 4. Krishna James  |                    |

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# **AGENDA - PART I**

## **1. ATTENDANCE BY RESERVE MEMBERS**

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the whole of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

## **2. DECLARATIONS OF INTEREST**

To receive declarations of personal or prejudicial interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

## **3. MINUTES (Pages 1 - 8)**

That the minutes of the meeting held on 26 January 2012 be taken as read and signed as a correct record.

## **4. PUBLIC QUESTIONS**

To receive questions (if any) from local residents/organisations under the provisions of Committee Procedure Rule 17 (Part 4B of the Constitution).

## **5. PETITIONS**

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

## **6. DEPUTATIONS**

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

## **7. REFERENCES FROM COUNCIL AND OTHER COMMITTEES/PANELS**

To receive references from Council and any other Committees or Panels (if any).

## **8. INFORMATION REPORT - AUDIT OPINION PLAN 2011/12 (Pages 9 - 60)**

Report of the Interim Corporate Director Resources.

**9. INFORMATION REPORT - RISK MANAGEMENT UPDATE (Pages 61 - 106)**

Information Report of the Assistant Chief Executive.

**10. INFORMATION REPORT - INSURANCE RISKS (Pages 107 - 118)**

Information Report of the Assistant Chief Executive.

**11. DRAFT INTERNAL AUDIT PLAN 2012/13 (Pages 119 - 128)**

Joint Report of the Assistant Chief Executive and Interim Corporate Director Resources.

**12. INFORMATION REPORT - FUTURE APPOINTMENT OF EXTERNAL AUDITORS (Pages 129 - 152)**

Joint Information Report of the Assistant Chief Executive and Interim Corporate Director Resources.

**13. ANY OTHER URGENT BUSINESS**

Which cannot otherwise be dealt with.

**14. EXCLUSION OF PRESS AND PUBLIC**

To resolve that the press and public be excluded from the meeting for the following item of business, on the grounds that it involves the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
15.	Information Report – Insurance Risks	Information under paragraph 3 - contains information relating to the financial or business affairs of any particular person (including the authority holding that information)

**AGENDA - PART II**

**15. INFORMATION REPORT - INSURANCE RISKS (Pages 153 - 232)**

Appendix 2 to the Information Report of the Assistant Chief Executive at item 10 above.